

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant: Daniel HENDRIX et al.
Title: STACKED PLATE-TYPE HEAT EXCHANGER
Appl. No.: 10/518,708
International Filing Date: 06/23/2003
371(c) Date: 12/22/2004
Examiner: Leonard R. LEO
Art Unit: 3744
Confirmation Number: 4897

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action after the filing of a request for continued examination under §1.114.

RELEVANCE OF EACH DOCUMENT

The foregoing documents came to Applicants' attention during a search of the corresponding German application. A copy of the German Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Documents A1 and A4 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

Documents A2 and A6 belong to the same "patent family," whereby the English language document may assist the PTO in understanding the content of the non-English language document.

A copy of DE 19511991C2 and DE 19750748C2 listed on the German Search Report in Applicant's International Application PCT/EP2003/006579 is not being provided since both were previously submitted to the United States Patent & Trademark Office in the above-identified application on an Information Disclosure Statement dated December 22, 2004.

Unless otherwise indicated, no English translation is readily available (not considering machine-generated translations that may be freely available online, to both the Applicant and the PTO) for each of the non-English language documents. However, a commercially available English language abstract is provided herewith, where indicated on the attached Form PTO/SB/08. Inasmuch as Applicants have endeavored to provide at least one item that complies with the requirement for a "concise explanation of relevance" for each of the non-English language documents, each of these documents has been submitted in compliance with the PTO requirements and should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

Date December 27, 2007

By



FOLEY & LARDNER LLP
Customer Number: 22428
Telephone: (202) 672-5414
Facsimile: (202) 672-5399

Richard L. Schwaab
Attorney for Applicant
Registration No. 25,479